

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-018001	Application No. 10/701,400
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Anne Elizabeth Dudfield et al.	
		Filing Date November 3, 2003	Group Art Unit
(37 CFR §1.98(b))			

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,550,807		Kuroshita, Kazumasa			
	AB	6,321,338		Porras et al.			
	AC	6,986,161		Billhartz, Thomas Jay			
	AD	2001/0014868		Herz et al.			
	AE	2002/0069200		Cooper et al.			
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### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AV							
	AW							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Examiner Initial	Desig. ID	Document
	AX	Symantec Antivirus For Macintosh – SAM. Cupertino, CA, pp. 4-9, 4-10, 5-6, 5-7.
	AY	Central Point Virus Detection, Removal and Prevention, 1991, pp. 46.
	AZ	Steve Bellovin. DDoS Attacks and Pushback. NANOG2 1, Feb 18,2001 <a href="http://www.aciri.org/pushback">http://www.aciri.org/pushback</a>
	AAA	Ratul Manajan, Steven M. Bellovin, Sally Floyd, Vern Paxson, Scott Shenker, and John Ioannidis. Controlling High Bandwidth Aggregates in the Network. draft paper, Feb 200 1. <a href="http://www.aciri.org/pushback">http://www.aciri.org/pushback</a>
	ABB	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Proceedings of 2000 ACM SIGCOMM. Stockholm, Sweden, August 2000. <a href="http://www.cs.washington.edu/homes/savage/traceback.html">http://www.cs.washington.edu/homes/savage/traceback.html</a> .
	ACC	Steve Bellovin. ICMP Traceback Messages. AT&T Labs Research, Mar 2000. <a href="http://www.research.att.com/-smb/papers/draft-bellovin-itrace-00.txt">http://www.research.att.com/-smb/papers/draft-bellovin-itrace-00.txt</a> .
	ADD	Cisco. Characterizing and Timing Packet Floods Using Cisco Routers. <a href="http://www.cisco.com/warp/public/707/22.html">http://www.cisco.com/warp/public/707/22.html</a>
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	AFF	P. Ferguson, D. Senie. RFC2827 (BCP38): Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing. IETF, May 2000. <a href="http://www.ietf.org/rfc/rfc2827.txt">http://www.ietf.org/rfc/rfc2827.txt</a>
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	AII	Analysis of a Denial of Service Attack on TCP" by Schuba et al Proceedings of the 1997 IEEE Symposium on Security and Privacy (IEEE Computer Society Press, May 1997
	AJJ	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Work in progress Technical Report UW-CSE-00-02-01. Date unknown. <a href="http://www.cs.washington.edu/homes/savagd~ceback.html">http://www.cs.washington.edu/homes/savagd~ceback.html</a>
	AKK	Web page entitled "Aggregate Based Congestion Control and Pushback" last modified April 2001 Found at <a href="http://www.a~iri.or/~sh">http://www.a~iri.or/~sh</a>
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	AMM	R. Stone, "CenterTrack: An IP Overlay Network for Tracking DoS Floods", Proceedings of 9 <sup>th</sup> USENIX Security Symposium, Denver, CO, August 2000, pp. 199-212.
	ANN	H. Burch et al., "Tracing Anonymous Packets to Their Approximate Source", Proc. USENIX LISA 00, December 2000, pp. 319-327.
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